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UTILITY PATENT APPLICATION TRANSMITTAL	First Inventor or Application Phillip C KESLIN Identifier				
(Only for new nonprovisional applications under 37 CFR § 1 53(b))	Title System, Method, and Computer Program Product for Remote Graphics Processing				
	Express Mail Label No.				
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	Assistant Commissioner for Patents  ADDRESS TO. Box Patent Application Washington, DC 20231				
1.  * Fee Transmittal Form (e.g., PTO/SB/17)  (Submit an original, and a duplicate for fee processing)	6. Microfiche Computer Program (Appendix)				
2. Specification [Total Pages 24]  (preferred arrangement set forth below)	7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)				
- Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D	a. Computer Readable Copy				
- Reference to Microfiche Appendix - Background of the Inventon - Brief Summary of the Invention	b. Paper Copy (identical to computer copy)				
- Braf Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	c. Statement verifying identity of above copies				
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 12]	ACCOMPANYING APPLICATION PARTS				
	8 Assignment Papers (cover sneet & document(s))				
4. A Oath or Declaration [Total Pages 2]	9. ☐ 37 CFR 3 73(b) Statement ☐ Power of Attorney (when there is an assignee)				
a. Newly executed (original or copy)	10. English Translation Document (if applicable)				
b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)	11 Information Disclosure Copies of IDS Citations Statement (IDS)/PTO-1449				
[Note Box 5 below]	12 Preliminary Amendment				
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§	13 Mathree (3) Return Receipt Postcard (MPEP 503)  (Should be specifically itemized)				
1.63(d)(2) and 1.33(b).	14.     *Small Entity Statement(s)   Statement filed in prior application, Status still proper				
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disclosure of the accompanying application and is hereby incorporated by reference therein	(if foreign priority is claimed)				
	16 ☑ Other. 37 C F R § 1.136(a)(3) Authorization				
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☐ Customer Number or ☑ Correspondence or Bar Code Label (Insert Customer No. or Attach bar code label here) address below					
NAME STERNE, KESSLER, GOLDSTEIN & FOX P L.L C					
Attorneys at Law  ADDRESS Suite 600, 1100 New York Avenue, N W					
CITY Washington STATE	DC   ZIP CODE   20005-3934				
COUNTRY USA TELEPHONE	(202) 371-2600 FAX (202) 371-2540				
The state of the s	[ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [				
NAME (Print/Type) Michael V. Messinger Registre	ation No. (Attorney/Agent) 37,575				

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#### STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

IIOO NEW YORK AVENUE, N W , SUITE 600 WASHINGTON, D.C 20005-3934

www skgf.com

PHONE. (202) 371-2600 FACSIMILE. (202) 371-2540



STEVEN R LUDWIG
JOHN M COVERT\*
LINDA E ALCORN
RAZ E FLESHNER
ROBERT C MILLONIG
MICHAEL V MESSINGER
JUDITH U KIM
TIMOTHY J SHEA, JR
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W. BRIAN EDGE\*

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SUZANNE E ZISKA\*\*
BRIAN J DEL BUONO\*\*
ANDREA J KAMAGE\*\*
NANCY J LEITH\*\*
TARJA H NAUKKARINEN\*\*

\*BAR OTHER THAN D C
\*\*REGISTERED PATENT AGENTS

July 31, 2000

WRITER'S DIRECT NUMBER: (202) 371-2667
INTERNET ADDRESS:
MIKEM@SKGF COM

Commissioner for Patents Washington, D.C. 20231

**Box Patent Application** 

Re:

U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)

Appl. No. To Be Assigned; Filed: Herewith

For: System, Method, and Computer Program Product for Remote

**Graphics Processing** 

Inventor:

Phillip C. KESLIN

Our Ref:

15-4-1034.00

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. PTO Fee Transmittal (Form PTO/SB/17) (in duplicate);
- 2. PTO Utility Patent Application Transmittal (Form PTO/SB/05);
- 3. U.S. Utility Patent Application entitled:

System, Method, and Computer Program Product for Remote Graphics Processing

Commissioner for Patents July 31, 2000 Page 2

and naming as inventor:

Phillip C. Keslin

the application consisting of:

- a. A specification containing:
  - i. 16 pages of description prior to the claims;
  - ii. 7 pages of claims (21 claims);
  - iii. a one (1) page abstract;
- b. 12 sheets of drawings: (Figures 1-12); and
- c. An original executed Declaration;
- 4. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (in duplicate);
- 5. Recordation Form Cover Sheet;
- 6. An original executed Assignment to <u>Silicon Graphics, Inc.</u>, recordation of which is hereby respectfully requested;
- 7. Three (3) return postcards; and
- 8. Our check No. 28243 for \$748.00 to cover:
  - \$ 690.00 Filing fee for patent application;
  - \$ 40.00 Assignment recordation fee; and
  - \$ 18.00 Fee for excess claims.

It is respectfully requested that, of the three attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other prepaid postcards, be stamped with the filing date and unofficial application number and returned as soon as possible.

Commissioner for Patents July 31, 2000 Page 3

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

MVM/EWY/dnk

Enclosures

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# System, Method, and Computer Program Product for Remote Graphics Processing

Inventor:

Phillip C. Keslin

# Background of the Invention

### Field of the Invention

The present invention relates to computer graphics, and more particularly to graphics computing architecture.

#### Related Art

Today a user of a graphics processing host, such as an INFINITE REALITY system by Silicon Graphics, Inc. of Mountain View, California, runs a graphics application locally. Rendering in response to the graphics instructions is also performed locally. The distance from the host to a user can be extended to a distance of, for example, one to three kilometers by the use of fiberoptic cables to connect the user's monitor and keyboard with the graphics processing host. More important, the host is the dedicated resource of a single person. It can only be shared if someone else takes the place of the current user. While a dedicated resource is convenient for the user, it may not be economical. The cost of a graphics processing host is considerable; less than full usage of such a host lowers its cost-effectiveness. This lower cost-effectiveness could deter an organization from procuring a graphics processing host.

Hence there is a need for a system and method by which additional users can utilize a remote graphics processing host. This would improve the cost-effectiveness of the host. Specifically, a system and method are needed where a user can execute a graphics application at a remote graphics processing host,

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causing rendering to be performed at the host such that the resulting images are returned to the user.

## Summary of the Invention

The invention described herein is a system, method, and computer program product for remote rendering of computer graphics. The invention includes a graphics application program resident at a remote server. The graphics application is invoked by a user or process located at a client. At the server, the invoked graphics application proceeds to issue graphics instructions. The graphics instructions are received by a remote rendering control system. Given that the client and server differ with respect to graphics context and image processing capability, the remote rendering control system modifies the graphics instructions in order to accommodate these differences. The modified graphics instructions are sent to graphics rendering resources, which produce one or more rendered images. Data representing the rendered images is written to one or more frame buffers. The remote rendering control system then reads this image data from the frame buffers. The image data is transmitted to the client for display or processing. In an embodiment of the invention, the image data is compressed before being transmitted to the client. In such an embodiment, the steps of rendering, compression, and transmission can be performed asynchronously in a pipelined

#### Features and Advantages

The invention described herein has the feature of allowing a user to invoke a graphics application at a remote server. The invention also has the feature of allowing the graphics application to send graphics instructions to graphics rendering resources located with the server, so that rendering is performed at the

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host and not at the user's machine. The invention also has the feature of returning rendered image data to the user.

The invention has the advantage of allowing a user to utilize graphics resources that are not co-located with the user, but are instead located at a distance from the user. The invention also has the advantage of allowing greater utilization of graphics resources, since users other than local users can take advantage of graphics resources.

The foregoing and other features and advantages of the invention will be apparent from the following, more particular description of a preferred embodiment of the invention, as illustrated in the accompanying drawings.

## Brief Description of the Figures

- FIG. 1 illustrates the overall architecture of an embodiment of the invention.
- FIG. 2 is a block diagram of the remote rendering control system, according to an embodiment of the invention.
- FIG. 3 is a flowchart illustrating the method of the invention, according to an embodiment thereof.
- FIG. 4 is a flowchart illustrating the step of initialization, according to an embodiment of the invention.
- FIG. 5 is a flowchart illustrating the client / server handshake, according to an embodiment of the invention.
- FIG. 6 is a flowchart illustrating the graphics application's receipt of a client window, according to an embodiment of the invention.
- FIG. 7 is a flowchart illustrating the graphics application's receipt of a graphics context, according to an embodiment of the invention.
- FIG. 8 is a flowchart illustrating the binding of a server context to a server window, according to an embodiment of the invention.

FIG. 9 is a flowchart illustrating the step of imposing client parameters on the rendering process, according to an embodiment of the invention.

FIG. 10 illustrates the pipelining of the rendering, compression, and transmission operations, according to an embodiment of the invention.

FIG. 11 is a flowchart illustrating the remote rendering process from the perspective of the client, according to an embodiment of the invention.

FIG. 12 illustrates the computing environment of the invention, according to an embodiment thereof.

# Detailed Description of the Preferred Embodiments

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A preferred embodiment of the present invention is now described with reference to the figures where like reference numbers indicate identical or functionally similar elements. Also in the figures, the left most digit of each reference number corresponds to the figure in which the reference number is first used. While specific configurations and arrangements are discussed, it should be understood that this is done for illustrative purposes only. A person skilled in the relevant art will recognize that other configurations and arrangements can be used without departing from the spirit and scope of the invention. It will be apparent to a person skilled in the relevant art that this invention can also be employed in a variety of other systems and applications.

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#### I. Introduction

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The invention described herein is a system, method, and computer program product for remote rendering of computer graphics. The invention allows a user or process at a client computer to access remotely located rendering resources, such as a graphics processing host embodied in or co-located with a remotely located server. According to the invention, a graphics application executing at the server sends graphics instructions to a remote rendering control system. The remote rendering control system can be embodied in software running on the server. The instructions sent from the application are not sent to the client. Hence the client does no rendering. The instructions are modified by the remote rendering control system and sent to graphics rendering resources. Rendering consequently takes place at the graphics resources. The image data that is produced by the graphics resources are then sent to the client.

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#### II. Apparatus

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The basic architecture of an embodiment of the present invention is illustrated in FIG. 1. A user or process at a client machine 103 wishes to have rendering performed remotely. Accordingly, client 103 issues commands 107 to

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a remotely located server 109. In an embodiment of the invention, connectivity between client 103 and server 109 is established and maintained through a communications network 115, such as the internet. Commands 107 are received at server 109 by a graphics application 120. In response to commands 107, application 120 generates graphics instructions 125. Graphics instructions may, for example, be in the OPENGL language.

Graphics instructions 125 are sent to a remote rendering control system 130. Remote rendering control system 130 accepts graphics instructions 125 and modifies them to create modified graphics instructions 135. An example of a remote rendering control system is the VIZSERVER software system produced by Silicon Graphics, Inc. As will described in greater detail below, the modifications to graphics instructions 125 are performed to take into account the differences between server 109 and client 103 with respect to their imaging processing capabilities and graphics contexts. In response to modified graphics instructions 135, graphics resources 140 render one or more images and return image data 145 to remote rendering control system 130. In an embodiment of the invention, image data 145 is then compressed to form compressed image data 150. Compressed image data 150 is then sent to client 103, via network 115. In alternative embodiments of the invention, compression is not implemented.

is shown in FIG. 2. Remote rendering control system 130 accepts graphics instructions 125 through an interface 205 which is transparent to the graphics application 120. Graphics application 120 is effectively sending graphics instructions to local rendering resources instead of to client 103. Because of the transparency of interface 205, graphics application 120 need not be aware of this redirection. Transparent interface 205 performs a variety of modifications to graphics instructions 125, to produce modified graphics instructions 135. The modifications performed by transparent interface 205 will be described in greater detail below. Modified graphics instructions 135 are then sent to graphics

resources 140 (not shown in FIG. 2) to effect rendering.

A more detailed logical illustration of remote rendering control system 130

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After rendering has been performed, remote rendering control system 130 accepts the resulting image data 145. As described above, in an embodiment of the invention, image data 145 undergoes compression before transmission to client 103. Compression of the data is performed by a data compression module 210, which produces compressed image data 150. Various methods of data compression are known to persons of ordinary skill in the art. In an embodiment of the invention, color cell compression is used. In alternative embodiments of the invention, data compression module 210 can perform alternative compression algorithms, such as the process described in U.S. Patent Application 09/458,011, "Image Data Compression and Decompression," incorporated herein by reference in its entirety. In an embodiment of the invention, data compression module 210 is capable of performing any of a plurality of data compression algorithms.

#### III. Method

The overall process of an embodiment of the invention is illustrated in FIG. 3. Process 300 starts at step 305. At step 310, a graphics session between a client and a remote server is initialized. Initialization step 310 includes the client's initial access to and protocol "handshake" with the server. In addition, step 310 includes the starting of the graphics application. Step 310 also includes reconciling the differences between the client and server with respect to graphics processing capability and graphics context. Such reconciliation is necessary to allow processing of an image at the server and display (or further processing) of the image at the client. Initialization will be described in greater detail below.

In step 320, the graphics application generates graphics instructions for the remote rendering control system. In step 325, parameters specific to the client are imposed. This step includes, for example, interception by the transparent interface of function calls and references that occur in the graphics instructions, and the modification of those function calls and references to make them suitable to the client. In particular, adjustments may have to be made given that the client and

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server can have different graphics processing capabilities and contexts. Step 325 is described in greater detail below.

In step 330, the remote rendering control system sends modified graphics instructions to the graphics resources. The modified instructions of this step correspond to the graphics instructions generated in step 320 and modified in step 325. In step 335, the graphics resources render one or more images according to the modified instructions of step 330.

In step 340, after rendering is completed, the remote rendering control system reads the resulting image data from a frame buffer. In an embodiment of the invention, the graphics application can have one or more specific instructions that force the reading of the frame buffer. If, for example, OPENGL is being used, the instruction "glflush" causes the frame buffer to be "flushed," i.e., read. The OPENGL instruction "glswapbuffers" also serves this purpose where two frame buffers are used. Here, the buffers will be swapped. A first buffer, to which image data has most recently been written, will be read. Writing, meanwhile, now takes place into the second buffer. Likewise, at the next "glswapbuffers" instruction, the second buffer will be read and the first buffer will begin receiving new image data.

In step 345, the image data is enqueued for purposes of compression. In step 350, the image data is compressed so that in step 355 the image data can be transmitted to the client efficiently. In step 360, a determination is made as to whether additional rendering is necessary. If so, the process returns to step 320. If no additional rendering is required in step 360, the process concludes at step 365.

#### A. Server processing

#### 1. Initialization

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The initialization step 310, according to an embodiment of the invention, is illustrated in greater detail in FIG. 4. The process begins at step 405. In step 410, a user or process at the client machine, begins logging on to the server. As a result, in step 415 a client/server handshake takes place. The handshake includes the initial contact and protocol establishment between the server and client. Step 415 also includes an agreement process between the client and server as to which data compression algorithm will be used, if there are more than one available. The client/server handshake process is described in greater detail below with respect to FIG. 5. In step 420, the client exports to the server a list of the client's graphics capabilities. A list of specific graphics capabilities is known as a visual. Examples of capabilities that may be included in a visual are the ability to perform stenciling or represent opacity. Another component of a visual is the color mapping range of a device, e.g., 48-bit versus 24-bit color representation.

In step 425, the user or process starts a console window at the client computer. In step 430, the user or process starts the graphics application resident at the server. In step 435, the transparent interface to the graphics application opens the client display and the server display. In step 440, the transparent interface merges the visual of the client with the visual of the server. Because a graphics application typically deals with a single output device, the graphics application must see a single visual. This merger allows the graphics application to see a single visual, while in reality presenting visuals for both client and server. In step 445, the transparent interface associates the client display with the graphics application. In step 450, the transparent interface overlays the server visual with a routine that allows conversion of visual capabilities appearing in function calls of the graphics application. The routine converts these visual capabilities to capabilities appropriate to the client, i.e., capabilities in the client's original visual. The development and application of such a routine would be obvious to one of ordinary skill in the art given this description.

In step 455, the client window is returned to the graphics application. This step includes the conversion, by the transparent interface, of the merged visual list

SKGF ref. 1452.3020000 SGI ref. 15-4-1034 into a visual appropriate to the client. Step 455 also includes creation of an internal data structure for tracking the position of the client window in the client display. The process of receiving the client window is described in greater detail below with respect to FIG. 6.

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In step 460, the application receives a graphics context. This step, detailed below with respect to FIG. 7, includes definition of a server context by the transparent interface. Step 460 also includes the return of an internal context to the application. In step 465, the application binds the internal context to the client window, which has the effect of binding the server context to the server window. The initialization process concludes with step 470.

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#### a. Client/server handshake

An embodiment of the client/server handshake, step 415, is illustrated in greater detail in FIG. 5. This process begins with step 505. In step 510, the server connects to the client. In step 515, the server (in particular, the application) identifies an address or source from which updates of image data are provided. It is from this source that updates will be sent to the client. In step 520, the server and client identify their respective available compression algorithms to each other. In step 525, the client chooses a compression algorithm and identifies it to the server. The process concludes with step 530.

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#### b. Client window

The step by which a client window is returned to the application, step 455, is illustrated in greater detail in FIG. 6, according to an embodiment of the invention. The process begins with step 605. In step 610, the transparent interface produces a visual appropriate for the client from the merged visual list. This is done by taking only those visual capabilities which were originally the client's. Definition of such a visual is required for an image to be displayed

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properly at the client. In step 615, the transparent interface defines a window for the client. In step 620, the transparent interface creates an internal data structure for tracking the position of the client window on the client display. In step 625, the transparent interface returns the client window to the application. The process concludes with step 630.

#### c. Graphics context

An embodiment of the process by which a graphics context is returned to the application, step 460, is illustrated in greater detail in FIG. 7. The process begins with step 705. In step 710, the transparent interface produces a server visual from the merged visual. This is done by taking only those visual capabilities which were originally the server's. In step 715, the transparent interface defines a server context. The server context represents the context settings to be applied in rendering for the server's display. In step 720, the transparent interface returns an internal context to the application program, where the internal context includes the server context and any additional information that may be necessary to allow the transparent interface to properly interpret references to the server context. An example of such additional information is the identity of specific visual capabilities that correspond to a given element of the server context. The process concludes with step 725.

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#### d. Context and server window

The step of binding a context to a server window, step 465, is illustrated in greater detail in FIG. 8, according to an embodiment of the invention. The process begins with step 805. In step 810, the transparent interface extracts the server context from the internal context. In step 815, the transparent interface requests a window allocation from the session manager. The session manager is logic (e.g., software) responsible for managing the allocation of resources in a

graphics session. The implementation of a session manager is known to persons of ordinary skill in the art. In step 820, the transparent interface binds the server context to the server window. The process concludes with step 825.

#### 2. Client parameters

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Returning to the overall process 300 illustrated in FIG. 3, once initialization is completed in step 310, the graphics application generates graphics instructions in step 320, as discussed above. This is followed by step 325, the step of imposing client parameters on the rendering process. An embodiment of step 325 is illustrated in greater detail in FIG. 9. The process begins with step 905. In step 910, the transparent interface intercepts graphics instruction function calls that include a visual. In step 915, the transparent interface converts the visuals to corresponding client visuals. In step 920, the transparent interface intercepts every graphics instruction reference to a context. In step 925 the transparent interface converts the reference to a reference consistent with the client's context. The process concludes at step 930.

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#### 3. Rendering and transmission

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transmission) are collectively illustrated in FIG. 10, according to an embodiment of the invention. This figure illustrates how blocks of image data are rendered, compressed, then transmitted. In the illustrated embodiment, these operations are performed in pipeline fashion. The rendering, compression, and transmission steps are identified collectively as processes 1005. These steps take place sequentially during successive blocks of time 1010. During time  $t_0$ , a block A of image data is rendered. During the next interval, time  $t_1$ , block A is compressed. Simultaneously, the next segment of image data, block B, is rendered. At the next

time interval, t2, block A is transmitted after having been compressed during the

Steps 340 through 355 (rendering, enqueuing, compression, and

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previous time period. While block A is being transmitted, block B is compressed. Simultaneously, the next block of image data, block C, is being rendered. Successive blocks of image data are processed in this manner.

In an embodiment of the invention, the steps of rendering, compression, and transmission are asynchronous. In such an embodiment, the compression of block B may not be completed at the same time as the rendering of block C, referring to the example above. To deal with this, blocks of image data may be discarded. Any given step will take only the most recent block from the previous step. If, for example, rendering of block B takes place faster than the compression of block A, the compression module is not yet ready for block B when rendering of block B is done. Block B will therefore be discarded. The compression module will finish compressing block A, then wait for the next (and newest) block to emerge from rendering. Multiple blocks may be discarded if rendering is significantly faster than compression. The transmission process will likewise accept only the newest compressed block of image data. If compression of a block is completed before the previous block has been transmitted, that compressed block will be discarded.

#### B. Client processing

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An embodiment of the remote rendering process from the perspective of the client is illustrated in FIG. 11. The process starts with step 1105. In step 1110, the client/server handshake takes place, as described above. In step 1115, the client sends one or more commands to the graphics application to begin execution. In step 1120, after the graphics resources at the server have completed rendering, the client receives image data via the network. In an embodiment of the invention, the image data is compressed for purposes of transmission efficiency. In step 1125, the image data is decompressed at the client and in step 1130, the appropriate image is drawn to a window at the client. In step 1135 a

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determination is made as to whether an additional image is to be drawn. If so, the process continues at step 1120. Otherwise the process concludes at step 1140.

#### IV. Environment

Server 109 of the present invention may be implemented as a computer system or other processing system. Remote rendering control system 130 and graphics application program 120 can be implemented as computer programs executing on such a computer system. Graphics resources 140 can be any type of graphics subsystem linked to a communication infrastructure 1206, such as a bus or network. An example of such a computer system 1200 is shown in FIG. 12. The computer system 1200 includes one or more processors, such as processor 1204. The processor 1204 is connected to communication infrastructure 1206. Various software implementations are described in terms of this exemplary computer system. After reading this description, it will become apparent to a person skilled in the relevant art how to implement the invention using other computer systems and/or computer architectures.

Computer system 1200 also includes a main memory 1208, preferably random access memory (RAM), and may also include a secondary memory 1210. The secondary memory 1210 may include, for example, a hard disk drive 1212 and/or a removable storage drive 1214, representing a floppy disk drive, a magnetic tape drive, an optical disk drive, etc. The removable storage drive 1214 reads from and/or writes to a removable storage unit 1218 in a well known manner. Removable storage unit 1218, represents a floppy disk, magnetic tape, optical disk, or other storage medium which is read by and written to by removable storage drive 1214. As will be appreciated, the removable storage unit 1218 includes a computer usable storage medium having stored therein computer software and/or data.

In alternative implementations, secondary memory 1210 may include other means for allowing computer programs or other instructions to be loaded into

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computer system 1200. Such means may include, for example, a removable storage unit 1222 and an interface 1220. Examples of such means may include a program cartridge and cartridge interface (such as that found in video game devices), a removable memory chip (such as an EPROM, or PROM) and associated socket, and other removable storage units 1222 and interfaces 1220 which allow software and data to be transferred from the removable storage unit 1222 to computer system 1200.

Computer system 1200 may also include a communications interface 1224. Communications interface 1224 allows software and data to be transferred between computer system 1200 and external devices. Examples of communications interface 1224 may include a modem, a network interface (such as an Ethernet card), a communications port, a PCMCIA slot and card, etc. Software and data transferred via communications interface 1224 are in the form of signals 1228 which may be electronic, electromagnetic, optical or other signals capable of being received by communications interface 1224. Commands 107 and compressed image data 150 are examples of signals 1228. These signals 1228 are provided to communications interface 1224 via a communications path (i.e., channel) 1226. This channel 1226 carries signals 1228 and may be implemented using wire or cable, fiber optics, a phone line, a cellular phone link, an RF link and other communications channels.

In this document, the terms "computer program medium" and "computer usable medium" are used to generally refer to media such as removable storage units 1218 and 1222, a hard disk installed in hard disk drive 1212, and signals 1228. These computer program products are means for providing software to computer system 1200.

Computer programs (also called computer control logic) are stored in main memory 1208 and/or secondary memory 1210. Computer programs may also be received via communications interface 1224. Remote rendering control system 130 and graphics application program 120 can be implemented as computer programs. Such computer programs, when executed, enable the computer system

1200 to implement the present invention as discussed herein. In particular, the computer programs, when executed, enable the processor 1204 to implement the present invention. Accordingly, such computer programs represent controllers of the computer system 1200. Where the invention is implemented using software, the software may be stored in a computer program product and loaded into computer system 1200 using removable storage drive 1214, hard drive 1212 or communications interface 1224.

#### V. Conclusion

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While various embodiments of the present invention have been described above, it should be understood that they have been presented by way of example, and not limitation. It will be apparent to persons skilled in the relevant art that various changes in detail can be made therein without departing from the spirit and scope of the invention. Thus the present invention should not be limited by any of the above-described exemplary embodiments, but should be defined only in accordance with the following claims and their equivalents.

# What Is Claimed Is:

1	1. A system for providing a client with access to remote graphics
2	rendering resources, comprising:
3	a remote rendering control system that receives graphics
4	instructions, generates modified graphics instructions on the basis of said graphics
5	instructions, and outputs said modified graphics instructions to said graphics
6	rendering resources.
1	2. The system of claim 1, wherein said remote rendering control
2	system comprises a transparent interface to said graphics application, and wherein
3	said transparent interface supports initialization of a graphics rendering session and
4	accommodates client parameters during said graphics rendering session.
1	3. The system of claim 1, wherein said remote rendering control
2	system comprises a data compression module that compresses said image data
3	prior to sending said image data to said client.
1	4. The system of claim 1, wherein said remote rendering control
2	system receives image data generated by said graphics rendering resources on the
3	basis of said modified graphics instructions, and sends said image data to said
4	client.
1	5. The system of claim 1, wherein said remote rendering control
2	system receives graphics instructions from a graphics application program.
1	6. A method of remote graphics rendering on behalf of a client,
2	comprising the steps of:

initializing a graphics rendering session;

(A)

4		(D)	starting a graphics application on the basis of a command
5	from the clien	nt;	
6		(C)	generating graphics instructions;
7		(D)	imposing client parameters to produce modified graphics
8	instructions;		
9		(E)	sending the modified graphics instructions to graphics
10	rendering res	ources;	
11		(F)	rendering graphics on the basis of the modified graphics
12	instructions t	o produ	ice image data in one or more frame buffers;
13		(G)	reading image data from the one or more frame buffers;
14		(H)	enqueuing the image data; and
15		(I)	transmitting the image data to the client.
1	7.	The n	nethod of claim 6, further comprising the step of:
2		(J)	compressing the image data,
3	performed af	ter step	(H) and before step (I).
1	8.	The :	method of claim 7, wherein steps (F), (J), and (I) are
2	performed in	pipelin	e fashion.
1	9.	The	method of claim 8, wherein steps (F), (J), and (I) are
2	asynchronous	s.	
1	10.	The n	method of claim 6, wherein step (A) comprises the steps of:
2		(i)	performing a client / server handshake;
3		(ii)	receiving a client visual from the client;
4		(iii)	after a user at the client opens a console window at the
5	client and sta	rts the g	graphics application, opening client and server displays;
6		(iv)	merging the client visual with a server visual to form a
7	merged visua	l list;	

8		(v)	associating the client display with the graphics application;			
9		(vi)	overlaying the server visual list with a transparent interface			
10	routine;					
11		(vii)	enabling the return of a client window to the graphics			
12	application;					
13		(viii)	enabli	ng the return of an internal context to the graphics		
14	application; an	d				
15		(ix)	bindin	g a server context to the server window.		
1	11.	The me	ethod of	f claim 10, wherein step (vii) comprises the steps of:		
2			(a)	converting the merged visual list into a visual		
3	appropriate for	the cli	ent;			
4			(b)	defining the client window;		
5			(c)	creating an internal data structure for tracking the		
6	displayed locat	ion of	the clie	nt window; and		
7			(d)	returning the client window to the graphics		
8	application.					
1	12.	The me	ethod of	Felaim 10, wherein step (viii) comprises the steps of:		
2			(e)	converting the merged visual list into a visual		
3	appropriate for	the sea	rver;			
4			(f)	creating a server context; and		
5			(g)	returning an internal context to the application.		
1	13.	The m	ethod o	f claim 10, wherein step (ix) comprises the steps of:		
2			(h)	extracting a server context from the internal		
3	context;					
4			(i)	requesting a window allocation from a session		
5	manager; and					
6			<b>(j)</b>	associating the server context with a server window.		

1	14. The method of claim 6, when	rein step (D) comprises the steps of
2	(x) intercepting every f	unction call that includes a visua
3	capability;	
4	(xi) converting the visual	capability to a corresponding clien
5	visual capability;	
6	(xii) intercepting every ref	ference to a graphics context; and
7	(xiii) converting every ref	ference to a graphics context to a
8	reference to a graphics context of the client.	
1	15. A computer program prod	uct comprising a computer usable
2	medium having computer readable program	code that enables remote graphics
3	rendering on behalf of a client, said computer	readable program code comprising
4	first computer readable progr	am code logic for causing a server to
5	initialize a graphics rendering session;	
6	second computer readable p	rogram code logic for causing the
7	server to start a graphics application on the	basis of a command from the client
8	third computer readable progr	ram code logic for causing the server
9	to generate graphics instructions;	
10	fourth computer readable pr	ogram code logic for causing the
11	server to impose client parameters to produc	e modified graphics instructions;
12	fifth computer readable progr	am code logic for causing the server
13	to send the modified graphics instructions to	graphics rendering resources;
14	sixth computer readable pro	ogram code logic for causing the
15	graphics rendering resources to render graphics	phics on the basis of the modified
16	graphics instructions to produce image data	in one or more frame buffers;
17	seventh computer readable p	program code logic for causing the
18	server to read image data from the one or me	ore frame buffers;
19	eighth computer readable pr	ogram code logic for causing the
20	server to enqueue the image data: and	•

21	ninth computer readable program code logic for causing the server
22	to transmit the image data to the client.
1	16. The computer program product of claim 15, said computer
2	readable program code further comprising:
3	tenth computer readable program code logic for causing the server
4	to compress the image data.
1	17. The computer program product of claim 15, wherein said first
2	computer readable program code logic comprises:
3	(i) computer readable program code logic for causing the server to
4	participate in a client / server handshake;
5	(ii) computer readable program code logic for causing the server
6	to receive a client visual from the client;
7	(iii) computer readable program code logic for causing the server
8	to open client and server displays after a user at the client opens a console window
9	at the client and starts the graphics application;
10	(iv) computer readable program code logic for causing the server
11	to merge the client visual with a server visual to form a merged visual list;
12	(v) computer readable program code logic for causing the server
13	to associate the client display with the graphics application;
14	(vi) computer readable program code logic for causing the server
15	to overlay the server visual list with a transparent interface routine;
16	(vii) computer readable program code logic for causing the server
17	to enable the return of a client window to the graphics application;
18	(viii) computer readable program code logic for causing the server
19	to enable the return of an internal context to the graphics application; and
20	(ix) computer readable program code logic for causing the server
21	to bind a server context to the server window.

1	18. The computer program product of claim 17, wherein said computer
2	readable program code logic (vii) comprises:
3	(a) computer readable program code logic for causing the
4	server to convert the merged visual list into a visual appropriate for the client;
5	(b) computer readable program code logic for causing the server
6	to define the client window;
7	(c) computer readable program code logic for causing the server
8	to create an internal data structure for tracking the displayed location of the client
9	window; and
10	(d) computer readable program code logic for causing the server
11	to return the client window to the graphics application.
1	19. The computer program product of claim 17, wherein said computer
2	readable program code logic (viii) comprises:
3	(a) computer readable program code logic for causing the
4	server to convert the merged visual list into a visual appropriate for the server;
5	(b) computer readable program code logic for causing the
6	server to create a server context; and
7	(c) computer readable program code logic for causing the
8	server to return an internal context to the application.
1	20. The computer program product of claim 17, wherein said computer
2	readable program code logic (ix) comprises:
3	(a) computer readable program code logic for causing the server
4	to extract a server context from the internal context;
5	(b) computer readable program code logic for causing the server
6	to request a window allocation from a session manager; and
7	(c) computer readable program code logic for causing the server
8	to associate the server context with a server window.

1	21. The computer program product of claim 15, wherein said fourth
2	computer readable program code logic comprises:
3	(i) computer readable program code logic for causing the
4	server to intercept every function call that includes a visual capability;
5	(ii) computer readable program code logic for causing the
6	server to convert the visual capability to a corresponding client visual capability
7	(iii) computer readable program code logic for causing the
8	server to intercept every reference to a graphics context; and
9	(iv) computer readable program code logic for causing the
10	server to convert every reference to a graphics context to a reference to a graphics
11	context of the client.

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# System, Method, and Computer Program Product for Remote Graphics Processing

#### Abstract

A system, method, and computer program product are provided for remote

rendering of computer graphics. The system includes a graphics application program resident at a remote server. The graphics application is invoked by a user or process located at a client. The invoked graphics application proceeds to issue graphics instructions. The graphics instructions are received by a remote rendering control system. Given that the client and server differ with respect to graphics context and image processing capability, the remote rendering control system modifies the graphics instructions in order to accommodate these differences. The modified graphics instructions are sent to graphics rendering resources, which produce one or more rendered images. Data representing the rendered images is written to one or more frame buffers. The remote rendering control system then reads this image data from the frame buffers. The image data

be performed asynchronously in a pipelined manner.

is transmitted to the client for display or processing. In an embodiment of the system, the image data is compressed before being transmitted to the client. In such an embodiment, the steps of rendering, compression, and transmission can

20 P:\USERS\EYEE\Sgi1452\1452.302\A276-57.wpd



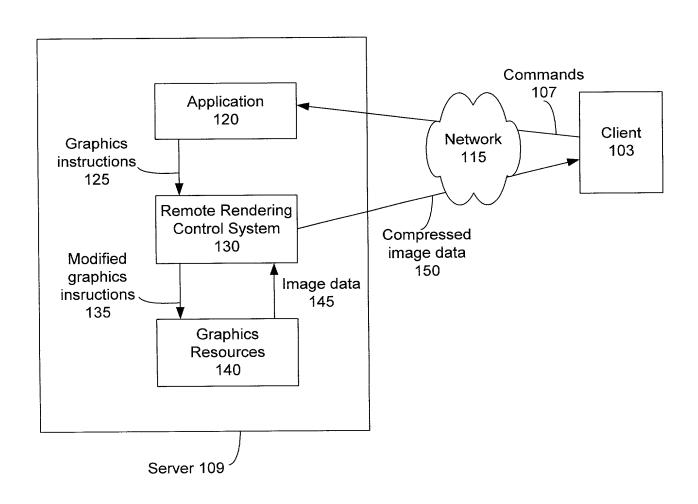


FIG. 1



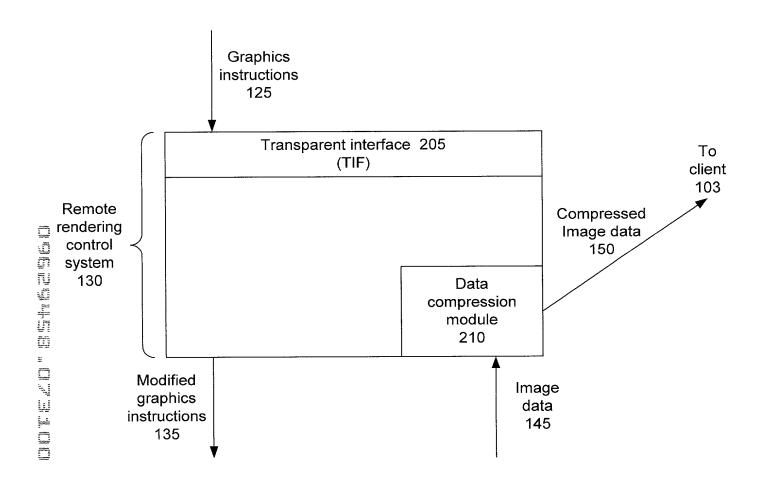


FIG. 2



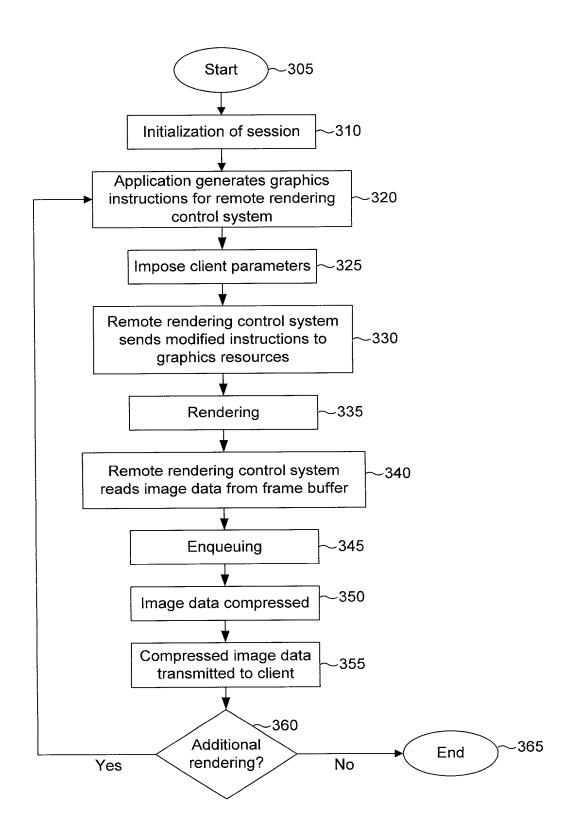


FIG. 3

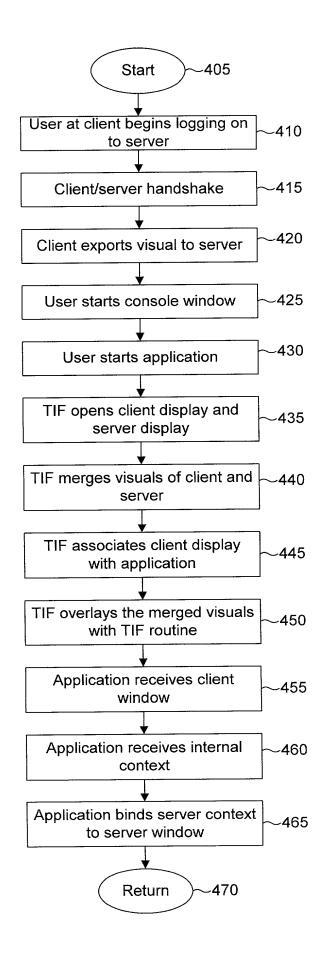


FIG. 4

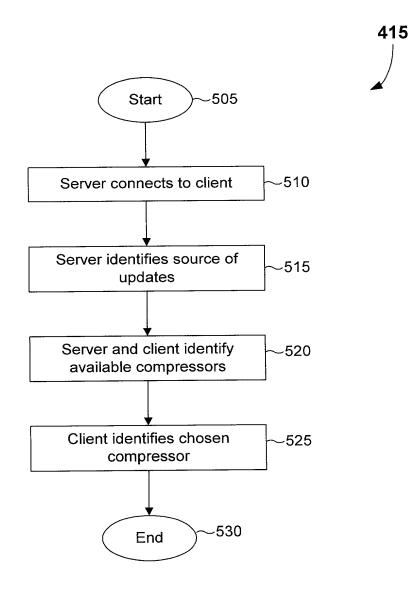


FIG. 5

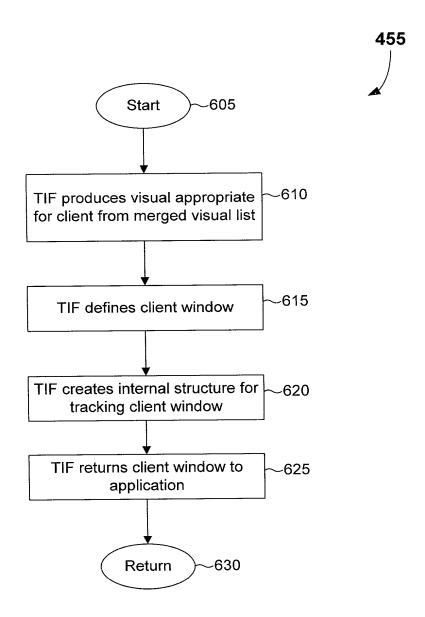


FIG. 6

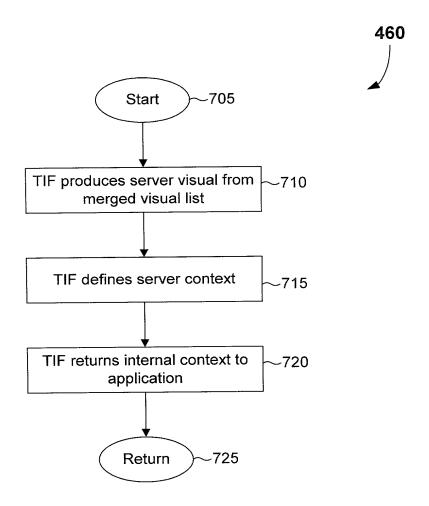


FIG. 7

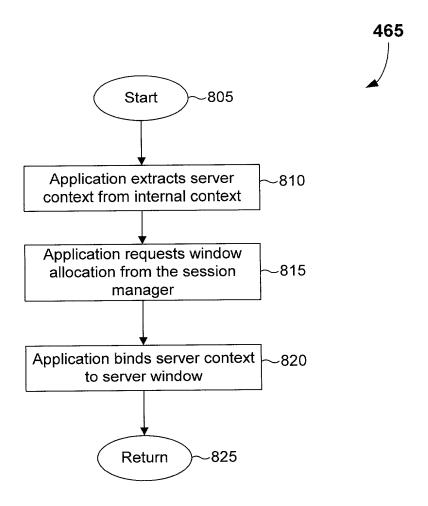


FIG. 8

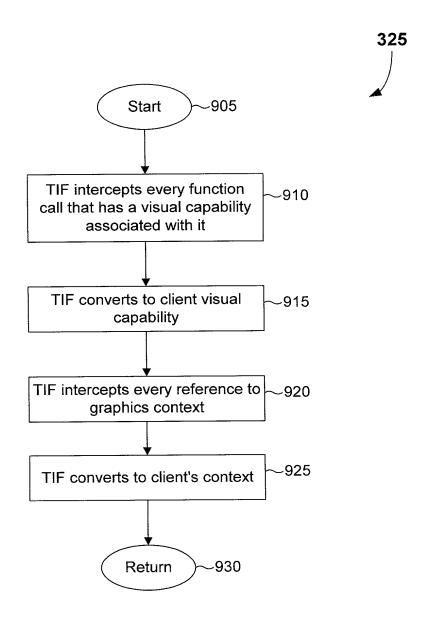


FIG. 9

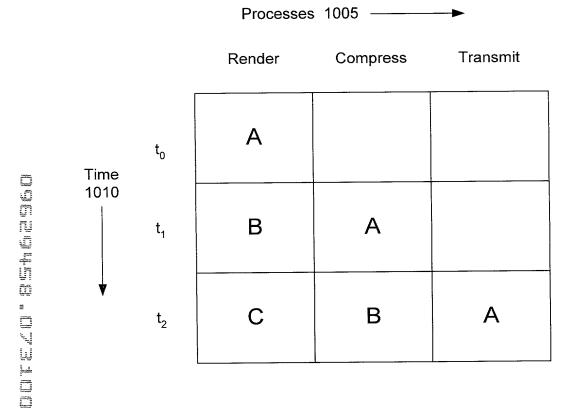


FIG. 10



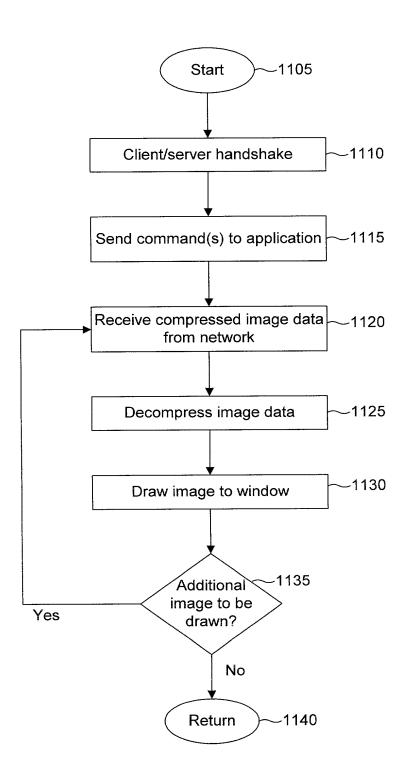


FIG. 11

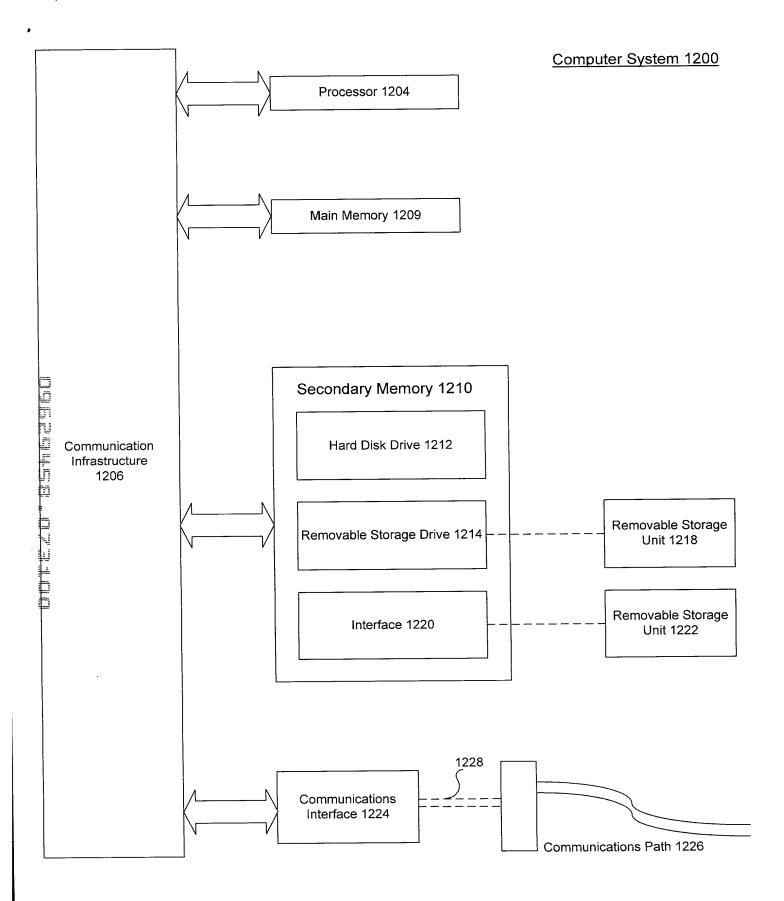


FIG. 12

#### **Declaration for Patent Application**

Docket Number: 15-4-1034.00

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled <a href="System">System</a>, Method, and Computer Program Product for Remote Graphics Processing, the specification of which is attached hereto unless the following box is checked:

was filed on as United States Appli was amended on		nal Application Number:	and	
I hereby state that I have review amended by any amendment re		f the above identified specification, is	ncluding the cla	ims, as
I acknowledge the duty to disc	lose information that is material to	patentability as defined in 37 C.F.R.	§ 1.56.	
inventor's certificate, or § 365( United States listed below, and	<ul> <li>a) of any PCT international applic</li> <li>have also identified below any fo</li> </ul>	-(d) or § 365(b) of any foreign application, which designated at least one creign application for patent or inventication on which priority is claimed	country other the or's certificate,	an the
Prior Foreign Application(s)			Priority (	Claimed
(Application No.)	(Country)	(Day/Month/Year Filed)	□ Yes	□ No
(Application No.)	(Country)	(Day/Month/Year Filed)	□ Yes	□ No
I hereby claim the benefit under	er 35 U.S.C. § 119(e) of any Unite	d States provisional application(s) lis	ted below.	
(Application No.)	(Filing Date)			
(Application No.)	(Filing Date)			
international application design of this application is not disclo- first paragraph of 35 U.S.C. §	nating the United States, listed belosed in the prior United States or P 112, I acknowledge the duty to diste available between the filing date	States application(s), or under § 365(ow and, insofar as the subject matter CT international application in the material to e of the prior application and the national states of the pr	of each of the c anner provided patentability as	by the
(Application No.)	(Filing Date)	(Status - patented, po	ending, abandor	ned)
(Application No.)	(Filing Date)	(Status - patented, p	ending, abando	ned)

Send Correspondence to:

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Direct Telephone Calls to:

(202) 371-2600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor	Phillip C Keslin		,
Signature of sole or first inventor			7/24/200
Residence	San Jose, California		,
Cıtizenshıp	United States		
Post Office Address	7483 Phinney Way, San Jose, California 95139		
Full name of second inventor			
Signature of second inventor			Date
Residence		,	
Citizenship			
Post Office Address			
Full name of third inventor			
Signature of third inventor			Date
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